Graph Coloring Problems

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Graph Coloring Problems

Proper vs Improper Graph Coloring

	Proper Graph Color-	Improper Graph Col-		
	ing	oring		
Definition	No two adjacent ver-	Two or more adjacent		
	tices have the same	vertices have the same		
	color	color		
Example				
Applications	Many practical applica- tions such as schedul- ing, frequency assign- ment and register allo- cation	Not applicable for many practical problems as it does not satisfy the condition of no adja- cent vertices having the same color.		

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Map Coloring



Figure 1: Map Coloring (Source :- Google Search)¹

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 $^{^{1} \}texttt{https://commons.wikimedia.org/wiki/File:Indiamapur.jpg\#/media/File:Indiamapefilsvg/ (\texttt{P}) (\texttt{P})$

Resource Allocation



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Timetabling and Scheduling

Day	08:30-09:50	10:00-11:20	11:30-12:50	13:00-14:20	14:30-15:50	16:00-17:20
Monday	IC251 (14) CA200 (56)	CS102 (14) CS201 (56) EE101(14) ME212 (16)	CS204 (36) DS100 (36) EE207 (36) ME231 (16)		CS202 (14) EE201(14) LA332 (55)	LA344 (12) LA339 (34) LA348 (56)
Tuesday	CS100 (36) MA605 (36) EE208 (36)	CS202 (14) EE201(14) LA332 (55)	CS203 (14) CS253 (56) DS200 (16) EE202 (16) ME251 (16)			
Wednesday	IC251 (14) CA200 (56)	CS102 (14) CS201 (56) EE101(14) ME212 (16)	CS204 (36) DS100 (36) EE207 (36) ME231 (16)		TUT: IC251	LA344 (12) LA339 (34) LA348 (56)
Thursday	CS100 (36) MA605 (36) EE208 (36)		CS203 (14) CS253 (56) DS200 (16) EE202 (16) ME251 (16)		CS202 (14) EE201(14) LA332 (55)	LA344 (12) LA339 (34) LA348 (56)
Friday	IC251 (14) CA200 (56)	CS102 (14) CS201 (56) EE101(14) ME212 (16)	CS204 (36) DS100 (36) EE207 (36) ME231 (16)		CS100 (36) MA605 (36) EE208 (36)	CS203 (14) CS253 (56) DS200 (16) EE202 (16) ME251 (16)

Time schedule for classes

Figure 2: Timetabling (Source :- IIT Bhilai)

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Frequency assignment



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	3						7	
		4				6		
			2		З			
		5				9		
		6		9		5		
	7						2	
				5				

Figure 3: Sudoku Puzzle (Source :- Google Search)²

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Figure 4: Sudoku Graph (Source :- Google Search)³

Graph Coloring Problems

- Register allocation in compilers
- Round Robin Tournament Scheduling
- Flight Scheduling
- Scheduling of municipal waste collections

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Edge Coloring



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Total Coloring



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Graph Coloring Problems

k-colorable, Graph Coloring Problems, and Chromatic Numbers

- *k*-colorable (*k*-COL): If a graph *G* can be colored with *k* colors.
- Graph Coloring Problems: Is a graph G k-colorable?

A trivial relation between $\chi''(G)$, $\chi'(G)$, and $\chi(G)$

 $\chi''(G) \leq \chi'(G) + \chi(G).$

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Independent Set



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Independent Set



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Independent Set: Is it maximal?



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Independent Set: Is it maximum?



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Maximum Independent Set



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Clique: Is it maximal and maximum?



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Maximum Clique



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Few Bounds

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Lower Bound: Based on Independence Number

Let $\alpha(G)$ be the independence number of graph G, and V is the vertex set, then

$$\chi(G) \geq \frac{|V|}{\alpha(G)}.$$

Lower Bound: Based on Clique Number

Let $\omega(G)$ be the clique number of graph G, then

 $\chi(G) \geq \omega(G).$

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⁴Korman, S.M., 1979. The graph-colouring problem. Combinatorial optimization, pp.211-235.

Lower Bound: Based on number of vertices and edges

Let G = (V, E) be the given graph, then

$$\chi(G) \ge \frac{|V|^2}{|V|^2 - 2|E|}.$$

Upper Bound: Based on number of vertices and edges

Let G = (V, E) be the given graph, then

$$\chi(G) \le 1 + \sqrt{\frac{2|E|(|V|-1)}{|V|}}$$

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Trivial Upper Bound: Based on maximum degree ⁶

Let G be a graph and the maximum degree is Δ , then

 $\chi(G) \leq \Delta + 1.$

More Refined Upper Bound: Based on maximum degree⁷, ⁸

Let G be a graph other than an odd-length cycle or a complete graph, and the maximum degree is $\Delta,$ then

$\chi(G) \leq \Delta.$

⁶Ore, O., 1962. Theory of Graphs, vol. 38. In American Mathematical Society Colloquium Publications. ⁷Brooks, R.L., 1941, April. On colouring the nodes of a network. In Mathematical Proceedings of the Cambridge Philosophical Society (Vol. 37, No. 2, pp. 194-197). Cambridge University Press.

 8 Lovász, L., 1975. Three short proofs in graph theory. Journal of Combinatorial Theory, Series B, 19(3), pp.269-271. $\triangleleft \square \succ \triangleleft \textcircled{ \Rightarrow } \lor \textcircled{ \Rightarrow } \lor$

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Another Upper Bound: Based on degree ⁹

Let G = (V, E) be a graph. Let the vertices be labeled from 1 to |V| as per non-increasing order according to degree. Let d(i) be the degree of vertex *i*. Then,

$$\chi(G) \leq \max_{1 \leq i \leq |V|} \min[d(i) + 1, i].$$

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⁹Welsh, D.J. and Powell, M.B., 1967. An upper bound for the chromatic number of a graph and its application to timetabling problems. The Computer Journal, 10(1), pp.85-86.

Mathematical Formulations

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Formulation 1¹⁰

$$\begin{array}{ll} \min: & \sum_{k=1}^{n} y_k & (1) \\ s.t.: & \sum_{k=1}^{n} x_{ik} = 1 & \forall v_i \in V & (2) \\ & & x_{ik} + x_{jk} \leq 1 & \forall (v_i, v_j) \in E & (3) \\ & & y_k \geq x_{ik} & \forall v_i \in V, \ k = 1, 2, \cdots, n & (4) \\ & & y_k, x_{ik} \in \{0, 1\} & \forall v_i \in V, \ k = 1, 2, \cdots, n & (5) \end{array}$$

• $y_k = 1$ if color k is used.

n

- $x_{ik} = 1$ if color k is assigned to vertex v_i .
- Polynomial number of variables and constraints.
- Optimal solution is the chromatic number.

Handbook of Combinatorial Optimization: Volume1-3, pp.1077-1141.

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¹⁰Pardalos, P.M., Mavridou, T. and Xue, J., 1998. The graph coloring problem: A bibliographic survey.

Formulation 2 [Pardalos et al.:1998]

$$\begin{array}{ll} \min: & \gamma & (6) \\ s.t.: & x_i \leq \gamma & \forall v_i \in V & (7) \\ & x_i - x_j - 1 \geq -n\delta_{ij} & \forall (v_i, v_j) \in E & (8) \\ & x_j - x_i - 1 \geq -n(1 - \delta_{ij}) & \forall (v_i, v_j) \in E & (9) \\ & x_i \in Z^+ & \forall v_i \in V & (10) \\ & \delta_{ij} \in \{0, 1\} & \forall v_i, v_j \in V & (11) \end{array}$$

- x_i is the color assigned to vertex v_i.
- No feasible value of δ_{ik} will satisfy constraint 8 and 9 if $x_i = x_j$ for $(v_i, v_j) \in E$.
- Polynomial number of variables and constraints
- Optimal solution is the chromatic number

Formulation 3 [Korman:1979]

$$min: \sum_{j=1}^{t} s_j$$
(12)

$$s.t.: \sum_{j=1}^{t} e_{ij}s_j = 1$$
 $i = 1, 2, \dots, n$ (13)

$$s_j \in \{0, 1\}$$
 $j = 1, 2, \dots, t$ (14)

• S_1, S_2, \cdots, S_t be all possible independent sets.

•
$$s_j = 1$$
 if S_j is chosen color class

- $e_{ij} = 1$ if vertex $v_i \in S_j$.
- Exponential number of variables.
- Convenient for Column Generation approach.¹¹
- Optimal solution is the chromatic number.

¹¹Mehrotra, A. and Trick, M.A., 1996. A column generation approach for graph coloring. Informs Journal on Computing, 8(4), pp.344-354.

Algorithms ad Heuristics

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A Simple Greedy Algorithm



Greedy Algorithm: Step1 - Consider an Ordering of Vertices



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Is it optimal?



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Consider another Ordering of Vertices



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Is it optimal now? Conclusion?



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- There exists an ordering of vertices for which greedy algorithms gives the exact solution.
- Optimal for interval graphs when intervals are kept in non-decreasing order according to the arrival time.
- Arbitrary ordering can be bad. Over Crown graphs, greedy coloring may require $\frac{n}{2}$ colors when it can be colored with 2 colors.
- **Grundy number:** Maximum number of colors that may be required by a greedy coloring algorithm.

Ordering Schemes: Decide before starting coloring

- Largest First¹²:
 - Decreasing order of degrees.
 - Uses at most one more than the graph's maximum degree colors.
- Smallest Last¹³:
 - The minimum degree vertex is ordered last.
 - In each iteration *i*, the minimum degree vertex is deleted and kept as the *i*-th vertex from the last.

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 $^{^{12}}$ Welsh, D.J. and Powell, M.B., 1967. An upper bound for the chromatic number of a graph and its application to timetabling problems. The Computer Journal, 10(1), pp.85-86.

¹³Matula, D.W., Marble, G. and Isaacson, J.D., 1972. Graph coloring algorithms. In Graph theory and computing (pp. 109-122). Academic Press.

Ordering Schemes: Decide during coloring

- DSatur¹⁴:
 - Color the maximum saturation degree vertex next.
 - $O(|V|^2)$ running time. O(|E|(log|V|)) when implemented using binary heap.
 - Optimal Coloring for Bipartite graphs, Cycle graphs, and Wheel graphs [Lewis:2015].
- Recursive Largest Fit¹⁵:
 - Generates color classes one by one. Chooses the next vertex in a color class with maximum degree among the potential vertices that can belong in that color class)

 $^{^{14}}$ Brélaz, D., 1979. New methods to color the vertices of a graph. Communications of the ACM, 22(4), pp.251-256.

¹⁵Leighton, F.T., 1979. A graph coloring algorithm for large scheduling problems. Journal of research of the national bureau of standards, 84(6), p.489.

Other Type of Algorithms for Graph Coloring

- Brute-Force
- Backtracking
- Integer Programming Based
- Column Generation
- Genetic
- Tabu search
- Simulated annealing
- Ant Colony Optimization
- Hill Climbing

Complexity and Performance Guarantee

- Determining whether a graph is k-colorable is NP-complete.¹⁶
- 2-COL is polynomial time solvable.
- SAT \leq_P 3-CNF-SAT \leq_P 3-COL \leq_P k-COL (k \geq 3).
- Unless P=NP, for f < 2, there does not exists any f-factor approximation algorithm for graph coloring.¹⁷
- Approximating $\chi(G)$ within n^{ϵ} , $\epsilon > 0$ is NP-hard. ¹⁸

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¹⁶Karp, R.M., 1972. Complexity of computer computations. Reducibility among combinatorial problems, 23(1), pp.85-103.

¹⁷Garey, M.R. and Johnson, D.S., 1976. The complexity of near-optimal graph coloring. Journal of the ACM (JACM), 23(1), pp.43-49.

¹⁸Khanna, S., Linial, N. and Safra, S., 2000. On the hardness of approximating the chromatic number. Combinatorica, 20(3), pp.393-415.

Generalizations and Variants

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Weighted Coloring



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Weighted Coloring



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Weighted Coloring: Is it optimal?



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Weighted Coloring: Is it optimal?



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- Introduced by Vizing¹⁹ and Erdos et al. ²⁰.
- Application in channel assignment problem: Lists of acceptable channels are specified by transmitters.
- *k*-**choosable**: Possible to list color no matter how the list of *k* colors are choosen at each node.
- **Choosability**(List Colorability/ Chromatic Number): ch(G):- Least k such that graph G is k-choosable.
- Trivial: $ch(G) \ge \chi(G)$
- List coloring is NP-complete even for interval graphs.²¹

¹⁹Vizing, V.G., 2000. Vertex colorings with given colors, Metody Diskret. Analiz. 29 (1976) 3–10.

²⁰Erdos, P., Rubin, A.L. and Taylor, H., 1979, September. Choosability in graphs. In Proc. West Coast Conf. on Combinatorics, Graph Theory and Computing, Congressus Numerantium (Vol. 26, pp. 125-157).

²¹Biró, M., Hujter, M. and Tuza, Z., 1992. Precoloring extension. I. Interval graphs. Discrete Mathematics, 100(1-3), pp.267-279.



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²²Erlebach, T. and Jansen, K., 2001. The complexity of path coloring and call scheduling. Theoretical Computer Science, 255(1-2), pp.33-50.



 $^{^{22}}$ Erlebach, T. and Jansen, K., 2001. The complexity of path coloring and call scheduling. Theoretical Computer Science, 255(1-2), pp.33-50. \bigcirc



 $^{^{22}}$ Erlebach, T. and Jansen, K., 2001. The complexity of path coloring and call scheduling. Theoretical Computer Science, 255(1-2), pp.33-50. \bigcirc

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Acyclic Coloring



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Acyclic Coloring: Is it Valid?



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A Valid Acyclic Coloring



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Acyclic and Star Coloring

- Introduced by Grünbaum²³
- Star Chromatic Number: χ_S(G) Minumum number of colors such that graph G has star coloring.
- Acyclic Chromatic Number: A(G) Minumum number of colors such that graph G has acyclic coloring.
- Let P be a planar graph then $7 \le \chi_S(P) \le 80$ [Fertin et al.]²⁴
- $\chi_{S}(K_{m,n}) = \min[m, n] + 1$ [Fertin et al.: 2001]
- Let G be a planar bipartite graph. It is NP-complete to determine whether $\chi_S(G) \leq 3$. [Albertson et al.]²⁵
- Optimal acyclic and star coloring of cographs in linear time.²⁶

²³Grünbaum, B., 1973. Acyclic colorings of planar graphs. Israel journal of mathematics, 14(4), pp.390-408. 24

²⁴ Fertin, G., Raspaud, A. and Reed, B., 2001. On star coloring of graphs. In Graph-Theoretic Concepts in Computer Science: 27th International Workshop, WG 2001 Boltenhagen, Germany, June 14–16, 2001 Proceedings 27 (pp. 140-153). Springer Berlin Heidelberg.

²³ Albertson, M.O., Chappell, G.G., Kierstead, H.A., Kündgen, A. and Ramamurthi, R., 2004. Coloring with no 2-Colored P₄'s. the electronic journal of combinatorics, pp.R26-R26.

Star Coloring



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A Valid Star Coloring



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Star Coloring : Another Example



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Star Coloring: how to validate?



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T-Coloring

- Introduced by Hale²⁷
- Application in frequency assignment problem: The set *T* denotes separation not allowed between pair of interfering transmitters.
- T-Chromatic Number: χ_T(G) :- Least number of colors such that graph G has T-coloring.
- **Span of a T-coloring**: Maximum difference between any pair of positive integers used for *T*-coloring.
- **T-span**: $sp_T(G)$:- minimum span of all possible *T*-colorings of a graph *G*.
- T-coloring is same as proper vertex coloring when T = {0} and sp_T(G) = χ(G) - 1.
- Finding $sp_T(G)$ is NP-complete. ²⁸

 28 Cozzens, M.B. and Wang, D.I., 1984. The general channel assignment problem. Congressus Numerantium,

41.

²⁷Hale, W.K., 1980. Frequency assignment: Theory and applications. Proceedings of the IEEE, 68(12).

T-Coloring



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T-Coloring: $T = \{0\}$, span=2



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T-Coloring: $T = \{0, 1\}$, span=4



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T-Coloring: $T = \{0, 1, 2\}$, span=6



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T-Coloring: $T = \{0, 2\}$, span=4



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Equitable Coloring

- Application in job scheduling (of uniform sizes) on homogeneous machines where the objective is minimize the number of machines while ensuring almost equal number of jobs (equal load) at machines.
- Equitable Chromatic Number: χ₌(G) :- Least number of colors such that graph G has equitable coloring.
- $\chi_{=}({\sf G}) \leq \Delta + 1$ where Δ is the maximum degree. ²⁹
- Equitable $(\Delta + 1)$ -coloring for a graph G = (V, E) with maximum degree Δ can be determined in $O(\Delta |V|^2)$.³⁰
- Equitable coloring is NP-complete.

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²⁹Hajnal, A. and Szemerédi, E., 1970. Proof of a conjecture of P. Erdos. Combinatorial theory and its applications, 2, pp.601-623.

³⁰Kierstead, H.A., Kostochka, A.V., Mydlarz, M. and Szemerédi, E., 2010. A fast algorithm for equitable coloring. Combinatorica, 30(2), pp.217-224.

Equitable Coloring



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Equitable Coloring: Another Example



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Equitable Coloring: Is it valid?



Equitable Coloring: Is it valid now? Is it optimal?



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- Adjacent vertices may take same color.
- *t*-**improper coloring**: Max number of neighbors (adjacent nodes) with the same color is *t*.
- *t*-**improperly** *k*-**colorable**: Graph *G* has *t*-improper *k* coloring.
- *t*-improper chromatic number (χ^t(G)): Least k for which Graph G is *t*-improperly k-colorable.
- $\chi(G) \ge \chi^t(G) \ge \chi^{t+1}(G)$, for $t \ge 0$.
- $\chi(G) = \chi^0(G)$.

³¹Kang, R.J., 2008. Improper colourings of graphs.

Improper Coloring



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Improper Coloring: 3-improper 1-coloring, $\chi^3(\overline{G}) = 1$



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Improper Coloring: 2-improper 2-coloring, $\chi^2(G) = 2$



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Improper Coloring: 2-improper 2-coloring, $\chi^2(G) = 2$



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Improper Coloring: 1-improper 2-coloring, $\chi^1(G) = 2$



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Improper Coloring: 0-improper 3-coloring, $\chi^0(G) = 3$



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- **Monochromatic Component**: Component of the subgraph of *G* induced on vertices assigned a color.
- *k*-colourable with clustering *c*: Has *k*-coloring such that the maximum number of vertices in any monochromatic components is at most *c*.
- Clustered chromatic number of a class of graphs $(\chi^*(\mathcal{G}))$: Least k for there exist some integer c such that each graph in \mathcal{G} is k-colourable with clustering c.

²Wood, D.R., 2018. Defective and clustered graph colouring. arXiv preprint:arXiv:1803.07694; 🔫 🖹 🔸 🤶 🔶 🖓 🔍

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